

# IAPG Rec'd PCT/PTO 07 SEP 2006

## **EXPRESS MAIL LETTER**

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Eckl et al.

Int'l Filing Date:

August 6, 2004

Application No.:

10/568,839

For:

COVER FOR AN ENERGY CONVEYING CHAIN, IN ADDITION TO CHAIN LINK

AND ENERGY CONVEYING CHAIN

Docket No.:

10016.508

Express Mail No.:

EV915460127US

Date of Deposit:

September 7, 2006

I hereby certify that these attached documents

- > Response postcard
- > Check in the amount of \$130.00
- > PTO/SB 21 (1p)
- > PTO/SB 17 (1p) and 1 copy
- > Copy of Notice of Missing Requirements (2pp)
- Transmittal of Missing Requirements (2pp) and 1 copy
- > Executed Combined Declaration and Power of Attorney (3pp)

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 35 C.F.R. §1.10 on the date indicated above and is addressed to the Mail Stop Missing Parts, Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia, 22313-1450

(Jeffey W. Smith, Reg. No. 33455)

Enclosed for filing please find the above-referenced documents. Please indicate receipt of these documents by returning the attached postcard with the official Patent and Trademark Office receipt stamped thereon.

Respectfully submitted,

Jeffry W. Smith, Reg. No. 33455

Attorney for Applicant SMITH LAW OFFICE

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09/12/2006 LLANDGRA 00000059 10568839

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PTO/SB/21 (07-06)
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Under the Paperwork Reduction Act of 1995	5. no persons are required to respond to a colle	ection of informat	tion unless it d	isplays a valid OMB control number.			
	Application Number	10/568,839					
TRANSMITTAL	Filing Date	August 6, 2004					
FORM	First Named Inventor	Erwin Eckl					
	Art Unit						
	Examiner Name						
(to be used for all correspondence after initial	Attorney Docket Number						
Total Number of Pages in This Submission	Talemey Booker Humber	10016.508					
ENCLOSURES (Check all that apply)							
Fee Transmittal Form	Drawing(s)		_l │ Appeal	lowance Communication to TC  Communication to Board			
Fee Attached  Amendment/Reply	Licensing-related Papers  Petition		Appeal	eals and Interferences  Communication to TC  Notice, Brief, Reply Brief)			
	Petition to Convert to a		7 ```				
After Final	Provisional Application Power of Attorney, Revocation	.  =	_l Proprie	tary Information			
Affidavits/declaration(s)	Change of Correspondence Ac	ddress	_ Status				
Extension of Time Request	Terminal Disclaimer	1	below):	inclosure(s) (please identify			
Express Abandonment Request	Request for Refund		Copy of Notic 2pp)	e of Missing Requirements			
Information Disclosure Statement	CD, Number of CD(s)						
	Landscape Table on CD						
Certified Copy of Priority Document(s)	Remarks						
Reply to Missing Parts/							
Incomplete Application Reply to Missing Parts							
under 37 CFR 1.52 or 1.53							
SIGNA	TURE OF APPLICANT, ATTOR	NEV OR A	AGENT				
Firm Name SMITH LAW OFFICE	TOTAL OF AFFEIDANT, AFFOI	CITE I, OIL F	102111				
Signature				<del></del>			
Language Colored	sitt.						
Printed name Jeffry W. Smith							
Date	I R	eg. No.					
12 Just. 7,	2006	eg. No. 334	155 				
CERTIFICATE OF TRANSMISSION/MAILING							
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with							
sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:							
Signature							
Typed or printed name			Date	,			

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. OIPE 40,2006 W

PTO/SB/17 (07-06) Approved for use through 01/31/2007. OMB 0651-0032 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE ork Reduction Act of no persons are required to respond to a collection of information unless it displays a valid OMB control number Effective on 2/08/2004.
Fees pursuant to the consolidation propriations Act, 2005 (H.R. 4818). Complete if Known 10/568,839 Application Number RANSMI' Filing Date August 6, 2004 For FY 2005 First Named Inventor Erwin Eckl **Examiner Name** Applicant claims small entity status. See 37 CFR 1.27 Art Unit TOTAL AMOUNT OF PAYMENT (\$) 130.00 Attorney Docket No. 10016.508 METHOD OF PAYMENT (check all that apply) Check Credit Card None l Money Order Other (please identify): Deposit Account Name: SMITH LAW OFFICE Deposit Account Deposit Account Number: 50-2911 For the above-identified deposit account, the Director is hereby authorized to: (check all that apply) Charge fee(s) indicated below Charge fee(s) indicated below, except for the filing fee Charge any additional fee(s) or underpayments of fee(s) Credit any overpayments under 37 CFR 1.16 and 1.17 WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. **FEE CALCULATION** 1. BASIC FILING, SEARCH, AND EXAMINATION FEES **FILING FEES SEARCH FEES EXAMINATION FEES Small Entity** Small Entity Small Entity **Application Type** Fee (\$) Fee (\$) Fees Paid (\$) Fee (\$) Fee (\$) Fee (\$) Fee (\$) Utility 300 150 500 200 250 100 Design 200 100 100 130 50 65 Plant 200 100 300 160 150 80 300 Reissue 150 500 250 600 300 Provisional 200 100 0 0 0 2. EXCESS CLAIM FEES Small Entity Fee Description Fee (\$) <u>Fee (\$)</u> Each claim over 20 (including Reissues) 50 Each independent claim over 3 (including Reissues) 200 100 Multiple dependent claims 360 180 **Total Claims Extra Claims** Fee Paid (\$) Multiple Dependent Claims Fee (\$) - 20 or HP = Fee (\$) Fee Paid (\$) HP = highest number of total claims paid for, if greater than 20. Extra Claims Fee Paid (\$) Fee (\$) - 3 or HP = HP = highest number of independent claims paid for, if greater than 3. 3. APPLICATION SIZE FEE If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s). Number of each additional 50 or fraction thereof Fee Paid (\$) / 50 = (round up to a whole number) 4. OTHER FEE(S) Fees Paid (\$) Non-English Specification, \$130 fee (no small entity discount) Other (e.g., late filing surcharge): Surcharge 130.00

SUBMITTED BY		
Signature Att Sit	Registration No. (Attorney/Agent) 33455	Telephone 608-663-8200
Name (Print/Type) Jeffry W. Smith		Date Scot. 7,2006

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



JNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Addres: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.	
10/568,839	Erwin Eckl	10016.508	•

INTERNATIONAL APPLICATION NO.

I.A. FILING DATE

OC000000020006944

PCT/EP04/08810

Jeffry W. Smith Smith Law Office 440 Science Drive Suite 302 Madison, WI 53711 RECEIVED

AUG 1 8 2006

SMITH LAW OFFICE

08/06/2004 08/21/2003

PRIORITY DATE

CONFIRMATION NO. 4676 371 FORMALITIES LETTER

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ACTION: MISSING REG

Date Mailed: 08/14/2006

ENTERED: 8/18/06
BY: CLIM

## NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 02/17/2006
- English Translation of the IA filed on 02/17/2006
- Copy of the International Search Report filed on 02/17/2006
- Preliminary Amendments filed on 02/17/2006
- Request for Immediate Examination filed on 02/17/2006
- U.S. Basic National Fees filed on 02/17/2006
- Priority Documents filed on 02/17/2006
- Specification filed on 02/17/2006
- Claims filed on 02/17/2006
- Abstracts filed on 02/17/2006
- Drawings filed on 02/17/2006

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity:

• \$130 Surcharge.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice **MUST** be returned with the response.

KAREN R MCLEAN

Telephone: (703) 308-9140 EXT 214

#### PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/568,839	PCT/EP04/08810	10016.508

FORM PCT/DO/EO/905 (371 Formalities Notice)

## PATENT APPLICATION

#### In the United States Patent and Trademark Office

Applicant:

Eckl et al.

Docket No.:

10016.508

Date:

September 7, 2006

Application No.:

10/568,839

Int'l Filing Date:

August 6, 2004

Art Unit:

Examiner:

For: Cover for an Energy Conveying Chain, In Addition to

CHAIN LINK AND ENERGY CONVEYING CHAIN

## Certificate of Mailing

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail in an envelope addressed to: Mail Stop Missing Parts, Commissioner for Patents,

P.O. Box 1450

Alexandria, VA 22313-1450

Jeffry W. Smith, Reg. No. 33455 Name of Applicant, assignee or Registered Representative

## TRANSMITTAL OF MISSING REQUIREMENTS UNDER 35 U.S.C. §371

Mail Stop Missing Parts Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

In response to the Notification of Missing Requirements mailed August 14, 2006, enclosed are the following items in the above-referenced U.S. patent application:

- 1. A Combined Declaration and Power of Attorney, executed by the Applicants on March 14, 2006, March 20, 2006 and March 22, 2006.
- 2. Copy of Notification of Missing Requirements under 35 U.S.C. §371.
- 3. A check in the amount of \$130.00 to cover the surcharge set forth in 37 C.F.R. §1.492(h).

Applicant: Eckl et al.

Application No.: 10/568,840

The Commissioner is hereby authorized to charge payment of any additional fees associated with this communication or credit any overpayment to Deposit Account No. 50-2911.

A duplicate copy of this document is enclosed.

Having now complied with all the requirements of 37 C.F.R. §371, Applicants respectfully requests that this application be placed upon the files for examination.

Respectfully submitted,

Jeffry W/Smith, Reg. No. 33455

Attorney for Applicant SMITH LAW OFFICE

440 Science Drive, Suite 302

Madison, WI 53711 (608) 663-8200